



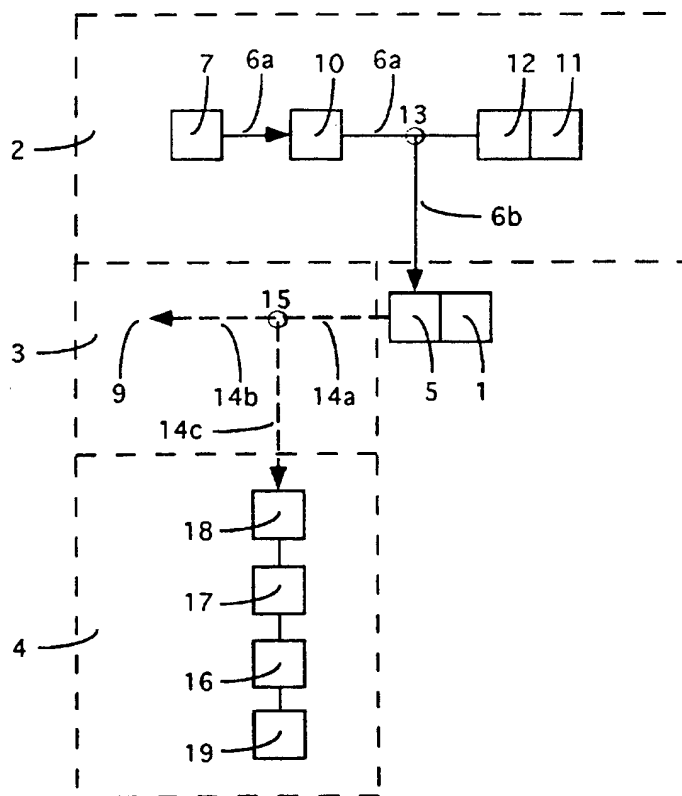
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : G01N 33/00, 33/497	A1	(11) International Publication Number: WO 95/02181 (43) International Publication Date: 19 January 1995 (19.01.95)
(21) International Application Number: PCT/SE94/00659 (22) International Filing Date: 4 July 1994 (04.07.94) (30) Priority Data: 9302324-0 6 July 1993 (06.07.93) SE 9401324-0 20 April 1994 (20.04.94) SE (71)(72) Applicants and Inventors: ALVING, Kjell [SE/SE]; Vin- dhmsgatan 15 A, S-752 27 Uppsala (SE). WEITZBERG, Edward [SE/SE]; Starrängsringen 36, S-115 50 Stockholm (SE). LUNDBERG, Jan [SE/SE]; Helgevägen 10, S-182 64 Djursholm (SE). (74) Agents: BERGANDER, Håkan et al.; Pharmacia AB, Patent Dept., S-751 82 Uppsala (SE).		(81) Designated States: AU, CA, JP, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.

(54) Title: A SYSTEM TO BE USED FOR THE DETERMINATION OF NO LEVELS IN EXHALED AIR AND DIAGNOSTIC METHODS FOR DISORDERS RELATED TO ABNORMAL NO LEVELS

(57) Abstract

A system to be used for measuring NO levels in exhaled breathing air. The system comprises: (i) a face mask (1) that tightly covers the nose and/or mouth of the individual that the mask is intended to be used on; (ii) an inlet unit (2) for inhaled breathing air; (iii) an outlet unit (3) for exhaled breathing air; (iv) a non-rebreathing valve (5) through which inhaled and exhaled breathing air, respectively, passes, and (v) a measuring unit for NO (4) connected to the outlet unit (3). A method for the diagnosis in mammals of inflammatory conditions in the airways. The characteristic feature is that nitric oxide NO is measured in exhaled breathing air and a found abnormal level is taken as an indication of an inflammatory condition in the airways.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LV	Latvia	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	MG	Madagascar	TJ	Tajikistan
DE	Germany	ML	Mali	TT	Trinidad and Tobago
DK	Denmark	MN	Mongolia	UA	Ukraine
ES	Spain			US	United States of America
FI	Finland			UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

**A SYSTEM TO BE USED FOR THE DETERMINATION OF NO LEVELS IN
EXHALED AIR AND DIAGNOSTIC METHODS FOR DISORDERS RELATED TO
ABNORMAL NO LEVELS.**

5

Technical field

See the title. The invention is based on the fact, that for mammals, including humans, the level of nitric oxide (NO) in exhaled air of a mammal (including human) is indicative of certain disorders (diseases) including risks for acquiring them. This concept has now been shown useful at least for the diagnosis of inflammatory conditions of the airways, such as allergic asthma and rhinitis, and respiratory tract infections in humans, and Kartagener's syndrome. In particular infections in the lower respiratory tract may be diagnosed.

The measuring principle employed has indicated that NO production in normal human airways is restricted to the upper airways, specifically the nasal sinuses. It has also been shown that NO is produced in the stomach.

By airways is meant the conducting airways from the nostrils down to the respiratory bronchioles, containing mucosal tissue; and the nasal sinuses.

Background

Over the last decade several approaches to the biological role of nitric oxide (NO) have been made. The synthesis of NO, which is catalysed by specialized NO synthases using L-arginine as a substrate, has now been shown to take place in many cell types (Nathan C., FASEB J. 6 (1992) 3051-64). The NO synthase exists in several isotypes that can be divided into two major classes: constitutive and inducible. The constitutive isotypes have been described in endothelial cells (Moncada S. et al., Pharmacol. Rev. 43 (1991) 109-42) and for instance in parasympathetic vasodilator nerves (Kummer W. et al., Neuroreport 3 (1992) 653-55). The inducible isotypes are found, after activation, in macrophages, neutrophils, endothelium, vascular smooth muscle (Moncada S. et al., Pharmacol. Rev. 43

(1991) 109-42) and even epithelium in the airways of asthmatic subjects (Springall et al., Am. Rev. Resp. Dis. 147 (1993) A515). The production of NO has so far been difficult to measure directly in vivo, although increases in the end-products nitrite and nitrate in plasma and urine can be used in some cases (Archer S., FASEB J. 7 (1992) 349-360). Recently, it was shown, however, that NO can be found in parts per billion (p.p.b.) levels in exhaled air of experimental animals and humans (Gustafsson L.E. et al., Biochem. Biophys. Res. Commun. 181 (1992) 852-7). Gustafsson et al have measured NO levels either by connecting a chemiluminescence detector to the exhaled air or by bubbling the exhaled air through a solution in which NO was chemically trapped. The human experiments appear to have been performed on one single individual who was allowed to inhale through the nose and exhale through the mouth. The relatively high NO level Gustafsson et al. have obtained compared to ours might be explained by passage of NO into the inhaled air when it passes through the nose. In a later publication Persson M.G. and Gustafsson L.E. have reported that ethanol intake will reduce NO formation as measured in exhaled air of rabbits (Eur. J. Pharmacol. 224 (1992) 99-100). Gustafsson L.E. himself has also suggested that measured NO levels in exhaled air may be used to check lung function (WO-A-9305709 and SE-91032433). The works of Gustafsson L.E. et al appears to be the closest prior art.

During the priority year, data on increased levels of NO in exhaled air of asthmatic patients and decreased levels smokers have been published (Alving K. et al., Eur. Resp. J. 6 (October, 1993) 1368-70; Hamid Q. et al., Lancet 342 (December 1993) 1510-13; Karithonov S.A. et al., Lancet 343 (January 1994) 133-35; and Persson M.G et al., Lancet 343 (January 1994) 146-7).

The objectives of the invention

A first and main objective of the invention is to provide utilities for earlier findings that endogenously produced nitric oxide (NO) can be detected in exhaled breathing' air.

A second objective is to provide improved systems for the measurement of NO levels in exhaled air.

A third objective is improved and more reliable diagnostic methods for disorders (diseases) that are associated with an abnormal NO level in exhaled air. At the priority date, we had results indicating that the diseases concerned were related to inflammatory conditions (including risks to develop inflammation) in the airways, for instance allergic asthma and rhinitis, and infections in the lower airways. During the priority year, we have recognized that nasally derived NO originates from the nasal sinuses and that close to zero levels in nasally exhaled air are valuable indications of Kartagener's syndrome and, possibly, increased susceptibility for developing sinusitis. We have also shown that regurgitated air contains high levels of NO (formed in the stomach) and abnormal NO levels in regurgitated air is likely to be associated with gastric disturbances. We have, for instance, found that inhibition or lowering of gastric HCl production results in lowered NO levels and, thus, NO levels in regurgitated air may be used to monitor treatment with drugs inhibiting gastric acid secretion. Detection of increased level of NO in exhaled air (preferably orally exhaled air) may also be indicative of gastro-oesophageal reflux (abnormal leakage of gastric content including NO from the stomach). The inventive method is likely to also have a prognostic value.

Legends to the drawings:

Figures 1a and 1b illustrate a sampling and measuring system useful for measuring NO in nasally and/or orally exhaled air. In Figure 1a the system utilises a pressurized source for breathing air essentially free of NO, and in Figure 1b the source is ambient air. Corresponding functions in the figures are represented by the same numerals.

Figure 2 shows levels of NO (p.p.b.) detected by chemiluminescence technique in exhaled air of control subjects during the first 5 min of oral breathing

(dotted line), nasal breathing (solid line) or nasal ventilation with an airstream (broken line). The arrows indicate a period of holding the breath with the mouth closed. Data are given as mean \pm SEM.

5 **Figures 3a and 3b** show detected levels of NO (p.p.b.) in exhaled air of controls (dotted line) and asthmatics (solid line) during the first 5 min of oral breathing (Fig. 3a), and nasal breathing (Fig. 3b). Data are given as mean \pm SEM. **P<0.01, ***P<0.001 compared to
10 controls (Mann-Whitney U-test).

THE INVENTION

System for measuring NO

15 The system of the invention for measuring NO in exhaled air is illustrated in Figure 1 and comprises a face mask (1) that covers the mouth and/or the nose of the patient, an inlet unit (2) for inhaled air, an outlet unit (3) for exhaled air, and an NO measuring unit (4). The inlet and outlet units may be connected to each other via a non-rebreathing valve (5) that
20 preferably is located on the face mask. As indicated the term "face mask" comprises also a mouth or nose piece through which it is possible to breath. A mouth piece is preferably used in combination with a nose clip. Conventional face masks and non-rebreathing valves may be used. In case the face mask used
25 covers both the mouth and the nose there are advantages with transparent masks.

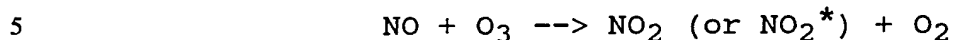
There are two main alternatives for the inlet unit (2). The first alternative (figure 1a) comprises tubings (6a,6b) connecting the mask (1) to a pressurized source (7) of
30 breathing air, preferably containing less NO than the level found in normally exhaled air, for instance less than 1 p.p.b. NO. The second alternative (figure 1b) comprises tubings (6a,6b) that connect the mask (1) to ambient air (9), preferably via a filter (8) for removing NO.

35 In order to support the mask (1) with a balanced smooth supply of air from the pressurized source (7), at least one of a flow meter (10) and an elastic air reservoir (11) linked to

an outlet valve (12) should be connected in the given downstream order to the tubings (6a,6b) between the mask (1) and the pressurized air source (7). The flow meter (10) is used to adjust the air flow to match the test subject's air consumption. The air outlet valve (12), e.g. a Berner valve, is used to avoid the build up of pressure in the elastic reservoir (11) but also allowing the elastic reservoir (11) to be adequately inflated between breaths. The air outlet valve (12) should be set to open at a low pressure that will not force air through the non-rebreathing valve (5). Preferably the elastic reservoir (11) has a common inlet/outlet that is connected to the tubings via a T-connection (13).

The outlet system (3) for exhaled air comprises tubings (14a,14b,14c) and a T-connection (15) that divides the outlet flow into two lines (14b,14c). One line (14c) leads a part of the exhaled air to the measuring unit (4). The other line (14b) takes care of excess air and leads it to ambient air (9). The flow designated to the measuring unit may be set in different ways. Most commercial NO detectors have a built in flow regulator for controlling the flow through the detector. In other alternatives, the outlet tubings (14a,14b,14c) may have one or more valves for regulating the partial flow going to the measuring unit (4), although it is important then to secure an even supply of exhaled air flow to the measuring unit (detector). The excess air may be led into ambient air via tubings (14b) of adequate length to avoid reversed flow and contamination from ambient air. To further secure that no contamination from ambient air enter the measuring unit (detector), the part of the exhaled breathing flow destined to the measuring unit should be set clearly less than the average breathing flow rate e.g. above 5% and preferably below 50% of the average breathing flow rate. On the outlet tubings (14a,14c) going to the measuring unit (4, illustrated in figure 1b) or integrated with the measuring unit (4, illustrated in figure 1a), there may be one or more filters (16,17,18) for the removal of substances present in exhaled air that may interfere with the measuring of NO. See below.

The measuring unit may comprise any method that gives the satisfactory sensitivity for measuring NO in air (from 1 p.p.b. and upwards). A commonly accepted technique is based on chemiluminescence and utilizes the light-emitting reaction:



Approximately 20% of the NO₂ formed is obtained in the excited state (NO₂*). During transition of NO₂* to NO₂ radiation is emitted (chemiluminescence), which is proportional to the NO concentration. O₃ is supplied in excess. See also Fontijn et al., Anal. Chem. 42 (1970) 575-79. The signal from the light-emitting reaction (NO₂* to NO₂) may be lowered by substances such as water and carbon dioxide. This means that in order to obtain absolute values these substances may be removed from the samples before measurement. This can be accomplished by letting
15 the samples through filters for removal of substances interfering with the measuring operation (16 that is a filter for H₂O and 17 that is a filter for CO₂). A second type of disturbing substances are particles which also may be removed by filters (18 that is a particle filter). Since the content of
20 carbon dioxide and water is fairly constant in exhaled air, corresponding filters may be omitted leaving the particle filter (18) to be the most important one in the system of the present invention. Suitable detectors/instruments (19) for measuring NO are available on the market. See above.

25 The system can be used either for continuous measurements over prolonged times (mixed air of several breaths) or for the measurements of NO in exhaled air of an individual breath (single breath analysis). The system also enables measurements of peak NO levels after regurgitation of air from the stomach.
30 The use of the system according to the invention is given in the experimental part. See below.

The system used in the experimental portion was at the priority date considered the most preferred one. During the priority year it has been realized that, for cases when orally
35 exhaled air is to be sampled, mouth masks (mouth pieces) may be more convenient for the patient.

Diagnostic method

The method of the invention is a diagnostic method for finding mammals, preferably human individuals, suffering from inflammatory conditions (including risks therefore) in the
5 airways. The method is characterized in that endogeneously produced NO is measured in exhaled breathing air from a mammal, and that an abnormal level found is indicative of an inflammatory condition in the airways of the mammal.

For nasally exhaled air decreased NO levels have been found
10 associated with acute inflammatory reactions causing severe nasal oedema and secretion, while increased NO levels have been found associated with low exposure of ambient inflammatory agents and/or a risk for nasal inflammation. Thus increased levels of NO during nasal breathing may be associated with both
15 subclinical and clinical inflammatory conditions in the nasal mucosa. For orally exhaled air the lower range of increased NO levels may be indicative of subclinical inflammation in the lower airways and will thus be prognostic for the development of clinical inflammatory conditions, while the upper range is
20 indicative of both acute and chronic inflammatory conditions in the lower airways. The NO levels in nasally and orally exhaled air should be compared to determine the relative contribution from the lower and upper airways, respectively.

The expressions "lower airways" and "upper airways" means
25 below and above glottis, respectively.

When measuring NO in orally exhaled breathing air it is preferred that the patient inhales through the mouth. For nasally exhaled breathing air it is analogously preferred that the patient inhales through the nose.

MATERIAL AND METHODS

Study subjects: NO levels were measured in exhaled air of human subjects. The control subjects were non-smoking, healthy individuals, 27-52 years old and the asthmatics were non-
35 smoking, atopic individuals, 33-45 years old with confirmed allergy towards at least rat allergen and occupational symptoms of mild asthma and rhinitis. The asthmatics were tested during

non-symptomatic periods except in two cases (see below). Two of the asthmatics were inhaling a glucocorticoid (budesonide) regularly, two inhaled a β_2 -agonist or cromoglycate when having symptoms and four did not take any medication. A group of subjects with allergic rhinitis, but not asthma, against birch pollen (n=9) was tested out of the pollen season during nasal breathing before and after nasal provocation with the allergen. In two other subjects with allergic rhinitis (birch pollen), but not asthma, oral breathing was tested out of the pollen season. In another subject with allergic rhinitis (birch pollen), but not asthma, oral and nasal breathing were tested immediately after the birch pollen season. All subjects were tested when they were subjectively free from respiratory infections, except in three cases of lower respiratory tract viral infections in control subjects. Exhaled NO was also measured at an intensive care unit in intubated and mechanically ventilated patients, without asthma. The study was approved by the local Ethical Committee.

Methods

A system was built as given in Figure 1a, which allowed inhalation of NO-free (< 1 p.p.b.) air from a gas tube and simultaneous and continuous measurement of NO in the exhaled air. No water or carbon dioxide filters were included because the contents of water and carbon dioxide in exhaled air were considered to be constant. A Berner valve was used as the air outlet valve (12).

When used, the system was rinsed with NO-free air (preferably < 1 p.p.b. NO) by closing the face mask outlet and the outlet in the Berner valve, and by setting the air flow to 2 l/min. When the chemiluminescence NO reading was down to 1 p.p.b., the outlet in the face mask was unplugged and the face mask was quickly mounted over the nose and mouth of the test subject. The outlet of the Berner valve was then set to 2 cm H_2O and the air flow adjusted to keep the elastic reservoir inflated to about 3/4 of the maximal volume (6-8 l/min for adults). The test persons were allowed to breath freely in the

face mask, either through the nose with the mouth closed or through the mouth using a nose clip. Breathing was allowed to continue until plateau levels of NO in exhaled air was noted (≤ 5 min in this system).

To evaluate the contribution from the nasal airways, an NO-free airstream (2-5 l/min) was introduced through one nostril of the control persons, while breathing through the mouth or holding the breath, and outlet air was sampled from the contralateral side. Similar measurements were made in the oral cavity, while holding the breath, with the inlet and outlet in different corners of the mouth. The level of NO and nitrogen dioxide (NO₂) on the outlet side was measured by continuous sampling at 0.7 l/min via Teflon tubings into an NO/NO_x chemiluminescence analyzer (Eco Physics, Basel, Switzerland; see also Fontijn et al., Anal. Chem. 42 (1970) 575-79). NO_x was measured after conversion of NO₂ to NO using a molybdenum thermal converter (Eco Physics) and the NO₂ concentration was calculated by the formula: $[NO_2] = [NO_x] - [NO]$.

RESULTS

When healthy control subjects were breathing through the mouth or the nose, much higher levels of NO were noted during nasal breathing (23 ± 2 p.p.b.) compared to oral breathing (9 ± 1 p.p.b.) (Fig. 2). Plateau levels of NO were reached within 4 minutes in this system, and no further changes were seen within a total of 10 minutes. Ventilation of the nasal airways with an airstream 2 l/min resulted in very high levels of NO on the outlet side (Fig. 2). These levels were further increased if the subjects were holding their breath with the mouth closed and thus forcing all air from one nasal cavity to the other via the nasopharynx. In contrast, similar measurements in the oral cavity resulted in low plateau levels of NO (≤ 4 p.p.b., $n=5$). Also very low plateau levels of NO (≤ 3 p.p.b.) were noted on the outlet side in intubated and mechanically ventilated patients ($n = 5$). Taken together, this suggests that the NO in exhaled air of normal subjects is mainly generated in the nasal mucosa. In some individuals, low levels of NO₂ (\leq

5 p.p.b.) were seen in exhaled air in the beginning of the measurement period. However, the exhaled NO₂ concentration decreased during breathing of NO₂-free air to reach basal levels (< 2 p.p.b.) within 5 min.

5 In a group of non-symptomatic atopic subjects with mild asthma and rhinitis the level of NO in exhaled air during oral breathing was 2-3 fold higher compared to control subjects (Figure 3a). When comparing plateau levels, there was no overlap between controls (range 5-16 p.p.b., $n = 12$) and
10 asthmatics (range 21-31 p.p.b., $n = 8$). After occupational exposure to allergen, causing symptoms of bronchial obstruction, a further increase in exhaled NO (6-8 p.p.b.) was noted in 2 asthmatics not taking regular glucocorticoids. Furthermore, during episodes of lower respiratory tract
15 infections in control subjects, causing cough and tracheobronchial soreness, elevated levels of NO in exhaled air during oral breathing were noted (11 ± 2 p.p.b. before, 32 ± 4 p.p.b. during and 16 ± 1 p.p.b. after the symptomatic period, $n = 3$). During nasal breathing, on the other hand, no significant
20 elevation of NO levels in exhaled air was noted in asthmatics (Figure 3b) and during lower respiratory tract infections (not shown), although a trend towards elevated levels was noted. In patients with allergic rhinitis ($n = 9$), basal plateau levels of NO in exhaled air during nasal breathing was close to the
25 levels in control subjects (21 ± 2 p.p.b.) when tested out of season. However, 2 minutes after nasal provocation with allergen, the plateau levels of NO were slightly reduced to 17 ± 2 p.p.b. This reduction persisted for 15 min, but 24 hours later the NO levels in exhaled air during nasal breathing in
30 these subjects were back to base line. In two other subjects with allergic rhinitis (birch pollen) oral breathing was tested. Elevated plateau levels of NO (21 and 23 p.p.b., respectively) compared to non-allergic controls were noted in these subjects. In another subject with allergic rhinitis
35 (birch pollen) both oral and nasal breathing was tested immediately after the birch pollen season. In this subject an increased plateau level of NO during nasal breathing (40

p.p.b.) compared to controls (range 16-29 p.p.b.) was noted. During oral breathing this subject had a low plateau level (11 p.p.b.), however.

5

DISCUSSION

Basal production of NO in the human airways, as detected in exhaled air, seems to be restricted to the nasal mucosa. The precise source of NO remains unclear, but could be endothelial cells (Moncada S. et al., Pharmacol. Rev. 43 (1991) 109-142) or
10 parasympathetic nerves (Kummer W. et al., Neuroreport 3 (1992) 653-655). This would fit with the apparently much lower basal levels of NO generated in the lower airways, since both vascularization and parasympathetic innervation are less in tracheobronchial mucosa compared to the nasal mucosa (Lundberg
15 J.M. et al., In Björklund et al., (eds.), Handbook of Chemical Neuroanatomy, vol 6: The peripheral Nervous system. Amsterdam, Elsevier, 1988 391-444). The higher levels of NO noted during oral breathing compared to what was detected in intubated subjects, may represent NO derived from the nasopharyngeal
20 mucosa. The transient presence of NO₂ in exhaled air may be interpreted as clearance of NO₂ that had been absorbed from ambient air (NO₂ concentrations between 5-20 p.p.b.) before the start of breathing NO₂-free air. The finding that the exhaled NO levels during nasal breathing in subjects with both allergic
25 asthma and rhinitis were not significantly increased may reflect lower levels of inducible NO synthase in luminal structures of the nasal airways. An alternative explanation could be that the permeability for NO in inflamed nasal mucosa is reduced due to secretion, oedema and/or hyperemia, resulting
30 in decreased passage of NO from deeper structures, such as endothelium and parasympathetic nerves, out into the lumen. This could possibly mask an increased production of NO in luminal structures of the nasal mucosa when measured in exhaled air. This notion is supported by the fact that acute exposure
35 of allergen to the nasal mucosa results in reduced levels of exhaled NO during nasal breathing, while acute exposure of the bronchial mucosa results in increased levels of NO during oral

breathing. However, the finding that the NO level in exhaled air during nasal breathing was enhanced after pollen season indicates the induction of NO synthase in luminal structures after long-term, low dose exposure of the allergen. Oral breathing in subjects with allergic rhinitis, but not asthma, resulted in elevated levels of NO in two out of three subjects. The two subjects with elevated levels complained about laryngeal symptoms during exposure of allergen, whereas the subject with non-elevated levels did not. This indicates that increased levels of NO in exhaled air during oral breathing could predict future development of asthma.

EXPERIMENTAL PART ADDED DURING THE PRIORITY YEAR

A first series of experiments was performed in order to check whether nitric oxide (NO) produced in the stomach contributed to the levels found in exhaled air.

Materials and methods

Subjects: The studied subjects were 4 healthy non-smoking individuals, 29-40 years old, and 4 non-smoking atopic individuals, 30-40 years old, with confirmed allergy towards at least rat allergen, and occupational symptoms of mild asthma and rhinitis. One of the asthmatics was inhaling a glucocorticoid (budesonide) regularly and the other 3 inhaled a beta-2 agonist or sodium cromoglycate when having symptoms. All subjects were tested when they were subjectively free from respiratory tract infections.

NO levels in regurgitated air from the stomach: Voluntary regurgitation of air was performed 3-5 min after intake of 30 cl carbonated water, pH 5.5 (Ramlösa®, Pripps AB, Sweden). Regurgitated air was led into a Teflon tubing system from which air was continuously sampled (0.8 l/min) into a NO chemiluminescence analyser (CLD 700; Eco Physics, Switzerland), and peak levels of NO were registered during otherwise normal breathing. Measurements of NO in regurgitated air from the stomach were made after 10 hours of fasting in combination with one of the following pretreatment procedures:

1. No pretreatment (control).

2. Intake of 50 g of iceberg lettuce (nitrate load).
3. Pretreatment per orally with a total of 240 mg of the proton pump inhibitor omeprazole (Astra-Hässle AB, Gothenburg, Sweden) distributed over a 24 h period prior to the

5 experiments, and

4. Intake of 50 g of lettuce after omeprazole pretreatment.

NO levels in exhaled breathing air: The subjects were breathing NO-free air ($\text{NO} < 2 \text{ p.p.b.}$) with normal tidal volumes through a mouth piece connected to a non-rebreathing valve while wearing

10 a nose clip. Exhaled air was led into a Teflon tubing system from which air was continuously sampled (0.8 l/min) and steady state levels of NO were recorded. NO measurements were made after 10 h of fasting with and without omeprazole pretreatment. Also, healthy subjects and patients with known gastro-

15 oesophageal reflux and withdrawn medication were allowed to breath in a face mask while wearing a nose clip, both sitting upright and in a supine position. Swallows were registered.

Results: Control NO levels after 10 h of fasting in regurgitated air were $602 \pm 102 \text{ p.p.b.}$ and these levels increased

20 4-fold after intake of lettuce. Pretreatment with omeprazole reduced the NO levels in regurgitated air both without and with intake of lettuce (95% and 75%, respectively). After 10 h of fasting, steady state levels of NO in exhaled air during normal tidal breathing were $4 \pm 1 \text{ p.p.b.}$ ($n = 4$) and $14 \pm 1 \text{ p.p.b.}$ ($n = 4$)

25 in healthy subjects and asthmatics, respectively ($p < 0.05$). Omeprazole pretreatment did not significantly alter these levels in any group. In vitro experiments showed that within the pH range 0.9 - 2.5 the formation of NO was increased when acidity was increased in chewed lettuce as well as saliva

30 alone.

Occasional, rapid peaks in NO levels (3-4 fold increases compared to basal levels) were noted during oral breathing in the supine position in both healthy subjects and patients with gastro-oesophageal reflux. The NO peaks were always related to

35 swallows in the control subjects, whereas also several NO peaks unrelated to swallows were noted in the reflux patients.

Discussion: In this study we have identified the stomach as a major source of NO. Intra-gastric NO seems to be formed mainly non-enzymatically requiring an acidic environment, since inhibition of gastric acid secretion by omeprazole almost abolished NO in regurgitated air. This indicates that the NO level in regurgitated air reflects the pH in gastric juice. The levels of NO in regurgitated air were found to be approximately 100 times higher during fasting conditions and 400 times higher after nitrate intake, compared to the levels in exhaled air during normal tidal breathing through the mouth. However, the high NO level in the stomach does not seem to contribute continuously to the NO levels in exhaled air during normal breathing in healthy subjects or asthmatics since the NO levels in orally exhaled air were not affected by omeprazole pretreatment. These findings seem possible to use for the diagnosis of gastro-oesophageal reflux in a patient, with non-swallow-related NO-peaks being indicative of gastro-oesophageal reflux, and with the measurement preferably being performed on orally exhaled air with the patient in a horizontal position. Furthermore, NO levels in regurgitated air may be used to monitor treatment with drugs inhibiting gastric acid secretion.

Nasally derived NO.

A second series of experiments was performed in order to determine the exact origin and significance of nasally derived NO.

Materials and Methods: Air was sampled directly into a syringe either from the nasal cavity or from one maxillary sinus via a perforation (autoinjecting needle) through the nasal wall in 3 healthy volunteers. Air samples were directly injected into the NO analyzer and peak levels were registered. Mucosal biopsies from the nasal cavity and maxillary sinus were taken in patients undergoing surgery and the presence of different NO synthases was examined by immunohistochemical technique using specific monoclonal antibodies. Exhaled NO levels were also measured in patients with Kartagener's syndrome (n = 4).

Results: Much higher levels of NO were seen in air collected from the maxillary sinus (3000 - 4000 p.p.b.) compared to air from the nasal cavity (200 - 300 p.p.b.). In accordance, high expression of the inducible form of NO synthase was detected by immunohistochemistry in epithelial cells from the maxillary sinus but not from the nasal cavity. In patients with Kartagener's syndrome, close to zero levels of exhaled NO was found during both oral and nasal breathing.

Discussion: The inducible NO synthase seems to be constitutively expressed in the epithelium of human nasal sinuses and is apparently very active. The high luminal concentrations of NO in the nasal sinuses may be an important component of the primary, unspecific host defence, since NO in high concentrations has been shown to be bacteriostatic (Moncada S et al., Pharmacol. Rev. 43 (1991) 109-42), and the nasal sinuses are normally sterile in contrast to the nasal cavity. Low nasal levels of NO may thus be indicative of airway epithelial diseases like Kartagener's syndrome and increased susceptibility for developing sinusitis.

C L A I M S

1. A system for measurement of NO in exhaled air, **characterized**
5 in that the system comprises:

- (i) a face mask (1) that tightly covers the nose and/or mouth of the individual that the mask is intended to be used on,
- (ii) an inlet unit (2) for inhaled breathing air,
- 10 (iii) an outlet unit (3) for exhaled breathing air,
- (iv) a non-rebreathing valve (5) through which inhaled and exhaled breathing air, respectively, passes, and
- (v) a measuring unit for NO (4) connected to the outlet unit (3).

15 2. The system according to claim 1, **characterized** in that the inlet unit (2) for inhaled breathing air comprises tubings (6a,6b) having an opening to ambient air (9), said tubings possibly being equipped with a filter (8) for removal of NO
20 present in ambient air (9).

3. The system according to claim 1, **characterized** in that the inlet unit (2) for inhaled breathing air comprises a tubing connected to a pressurized container for breathing air (7),
25 preferably containing non-significant levels of NO.

4. The system according to claim 3, **characterized** in that an elastic reservoir (11) is connected to the tubings (6a,6b) between the pressurized container (7) and the non-
30 rebreathing valve (5).

5. The system according to anyone of claims 3-4, **characterized** in that the outlet unit (3) has tubings (14a,14b,14c) for exhaled breathing air comprising a T-connection (15) for
35 diverting part of the exhaled breathing air (sample air flow) to the measuring unit (4).

6. The system according to anyone of claims 3-5, **characterized** in that the sample air flow (15) is less than the average breathing flow rate (minute volume) of the individual to whom the system is intended to be applied so that
5 contamination by ambient air is avoided.

7. The system according to anyone of claims 3-6, **characterized** in that at least one filter selected from:

(i) a filter (16) for drying of exhaled breathing air,

10 (ii) a filter (17) for the removal of CO₂ in exhaled breathing air, and

(iii) a particle filter (18)

is connected to the tubings (14a) or (14c) in the outlet unit (3) or integrated with the measuring unit (4),

15 with the provision that the filters (16,17,18) are placed so that air targeting the detector first will pass said at least one filter.

8. The system according to anyone of claims 3-7, **characterized** in that it has at least one device selected from:

20 (i) a flow meter (10), and

(ii) an outlet valve (12) preventing

(a) the built up of pressure in the tubings (6a,6b) for inhaled breathing air, and

25 (b) the leakage of air from the inlet unit (2) to the outlet unit (3) via the non-rebreathing valve (5).

9. A method for the diagnosis in mammals of inflammatory
30 conditions in the airways, **characterized** in that (i) the level of endogeneously produced NO is measured in exhaled breathing air, and (ii) a found abnormal level is taken as an indication of an inflammatory condition in the airways or risk therefore.

35

10. The method according to claim 9, **characterized** in that the level of NO is measured in orally or nasally exhaled

breathing air and that an elevated level compared to the normal population is taken as an indication of an inflammatory condition in the airways, e.g. asthma or rhinitis.

5

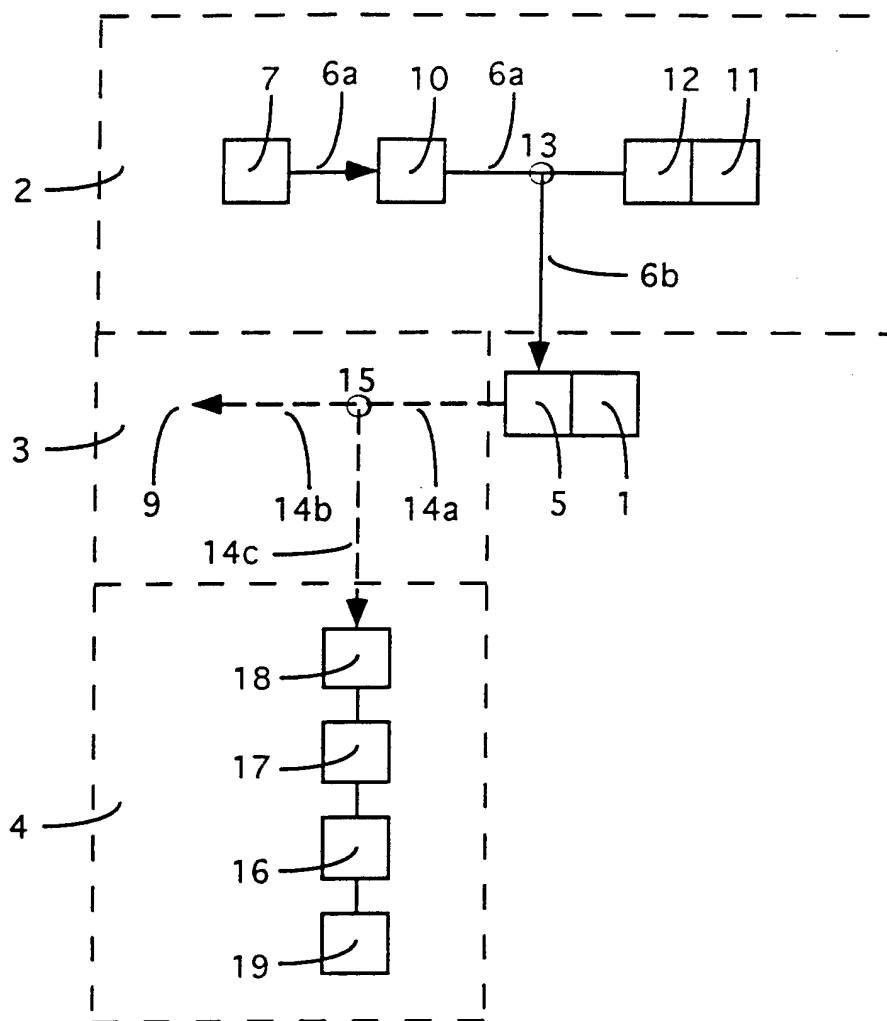
11. The method according to claim 9, **characterized** in that the level of NO is measured in nasally exhaled breathing air and that a decreased level compared to the normal population is taken as an indication of an acute inflammation in the upper

10

airways.

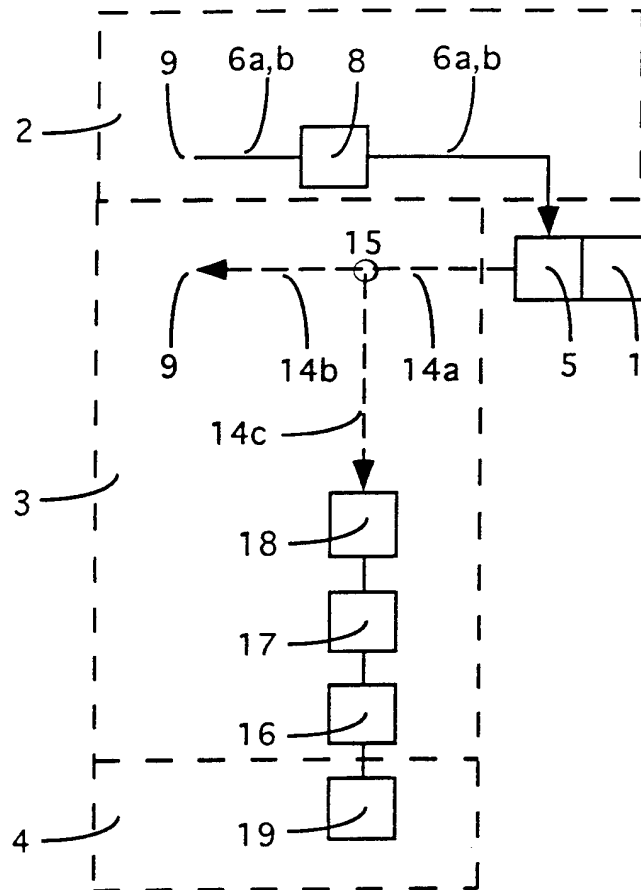
1/4

Fig. 1a



2/4

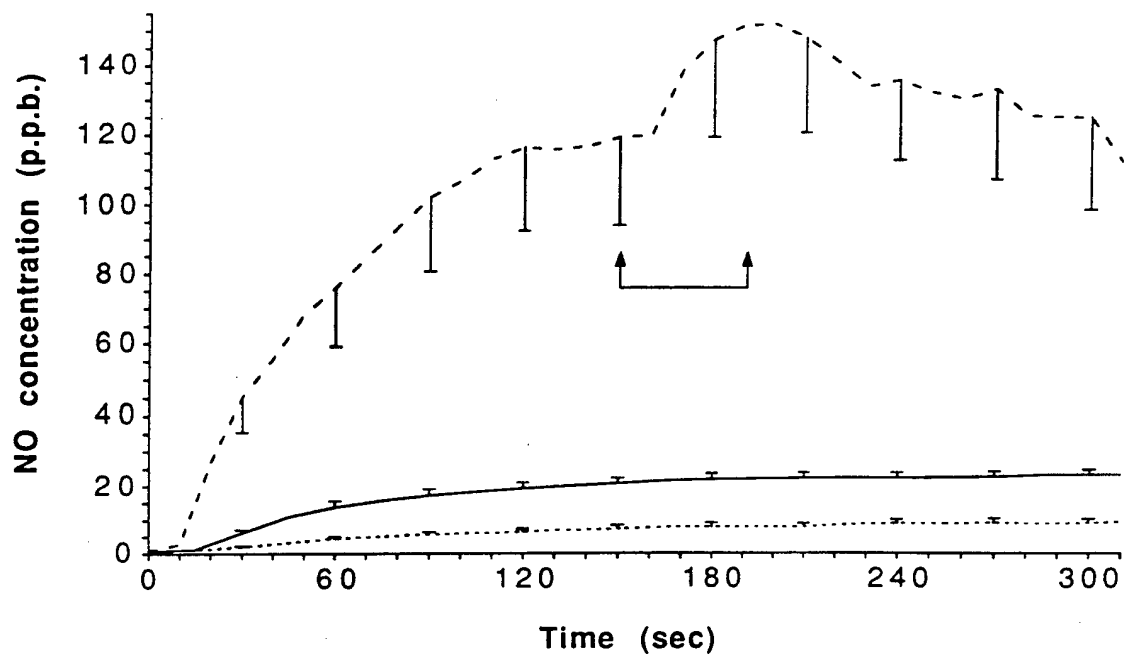
Fig. 1b



SUBSTITUTE SHEET

3/4

Fig. 2



SUBSTITUTE SHEET

Fig. 3a

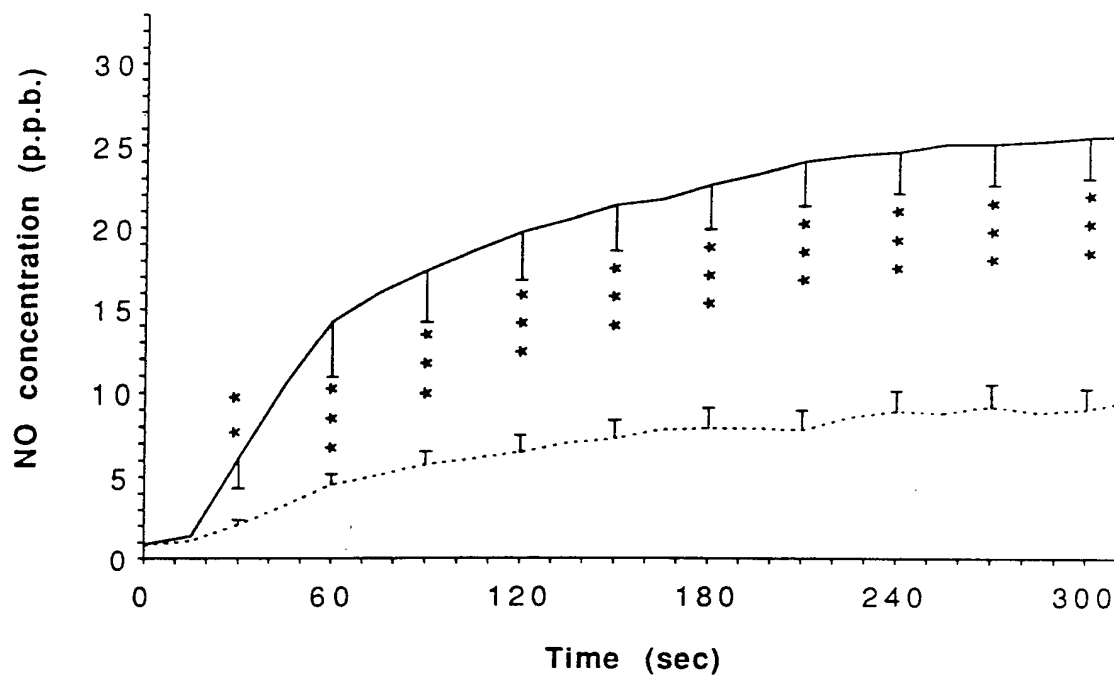
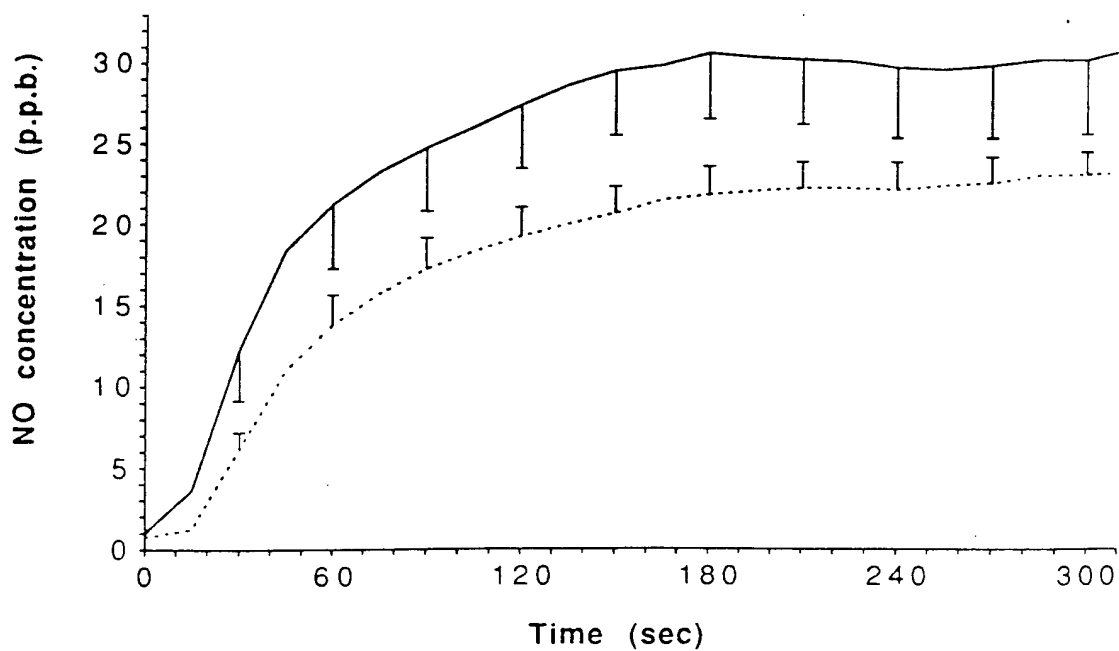


Fig. 3b



INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 94/00659

A. CLASSIFICATION OF SUBJECT MATTER

IPC : G01N 33/00, G01N 33/497

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC : G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

MEDLINE, EMBASE, BIOSIS, WPI, CLAIMS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS, Volume 181, No 2, December 1991, L.E. Gustafsson et al, "Endogenous nitric oxide is present in the exhaled air of rabbits, guinea pigs and humans" page 852 - page 857 --	1-11
X	THE FASEB JOURNAL, Volume 7, February 1993, Stephen Archer, "Measurement of nitric oxide in biological models" page 349 - page 360 --	1-11
X	WO, A1, 9305709 (GUSTAFSSON, LARS, ERIK), 1 April 1993 (01.04.93) --	1-11

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

25 October 1994

Date of mailing of the international search report

31 -10- 1994

Name and mailing address of the ISA/
Swedish Patent Office
Box 5055, S-102 42 STOCKHOLM
Facsimile No. +46 8 666 02 86

Authorized officer

Jonny Brun
Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 94/00659

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	SE, A, 9103243 (LARS ERIK GUSTAFSSON), 4 May 1993 (04.05.93) ----- --	1-11

INTERNATIONAL SEARCH REPORT

Information on patent family members

01/10/94

International application No.

PCT/SE 94/00659

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A1- 305709	01/04/93	NONE	
SE-A- 9103243	04/05/93	NONE	